

ICB Primary Care Commissioning Committee

Date of meeting	10 August 2023
Title of paper	Group Escalation and Assurance Report
Presented by	Amy Lepiorz, Associate Director Primary Care
Author	Amy Lepiorz, Associate Director Primary Care
Agenda item	7c
Confidential	No

Executive summary

This report highlights key matters, issues, and risks discussed at the below group meetings since the last report to the Committee on 20 July 2023 to advise, assure and alert the Primary Care Commissioning Committee.

- Primary Medical Services Group: Peter Tinson (Director of Primary Care)
- Primary Dental Services Group: Amy Lepiorz (Associate Director Primary Care)
- Pharmaceutical Services Group: Amy Lepiorz (Associate Director Primary Care)
- Primary Optometric Services Group: Collette Walsh (Associate Primary Care)

Each summary report also highlights any issues or items referred or escalated to other committees or the Board.

Reports approved by each Group Chair are presented to the Committee to provide assurance that the committees have met in accordance with their terms of reference and to advise the Committee of business transacted at their meeting.

Recommendations

The Primary Care Commissioning Committee is requested to:

- **Receive** and **note** the Alert, Assure, Advise (AAA) reports from the four delegated primary care groups.

Which Strategic Objective/s does the report relate to:		Tick
SO1	Improve quality, including safety, clinical outcomes, and patient experience	x
SO2	To equalise opportunities and clinical outcomes across the area	x
SO3	Make working in Lancashire and South Cumbria an attractive and desirable option for existing and potential employees	x
SO4	Meet financial targets and deliver improved productivity	x
SO5	Meet national and locally determined performance standards and targets	x
SO6	To develop and implement ambitious, deliverable strategies	x

Implications				
	Yes	No	N/A	Comments
Associated risks				Any risks for the committee's awareness are included in the triple A report.
Are associated risks detailed on the ICB Risk Register?				
Financial Implications				
Where paper has been discussed (list other committees/forums that have discussed this paper)				
Meeting	Date		Outcomes	
Primary Medical Services Group	20/07/2023		To provide oversight to the Primary Care Commissioning Committee of business conducted at the Groups during this period.	
Primary Dental Services Group	27/07/2023			
Primary Optometric Services Group	N/A – group meets bi-monthly			
Pharmaceutical Services Group	19/07/2023			
Conflicts of interest associated with this report				
Not Applicable				
Impact assessments				
	Yes	No	N/A	Comments
Quality impact assessment completed			x	
Equality impact assessment completed			x	
Data privacy impact assessment completed			x	

Report authorised by:	Service Group Chairs
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ICB Primary Care Commissioning Committee

10 August 2023

Group Escalation and Assurance Report

1. Introduction

1.1 This report highlights key matters, issues, and risks discussed at the Service Group meetings since the last report to the Committee on 20/07/2023 to advise, assure and alert the Primary Care Commissioning Committee.

Group: Primary Medical Services Group		Date: 20.7.23	Chair: Peter Tinson
Key Items Discussed			
Issue	Committee update		Action
Alert			
	Nothing to Escalate		
Advise			
Investment and Impact Fund (IIF) Indicators	Following detailed consideration of the recommendations for each indicator, a number were agreed in principle and further detail was requested regarding the remainder.		In recognition of the financial consequences, the final recommendations would be received by ICB Executives and/or Commissioning Resource Group for agreement.
Asylum Seekers – unmet Quality and Outcomes Framework vaccination and immunisation indicators	Discussion took place regarding a request from St Pauls Medical Centre, Blackpool, to financially recognise QOF under achievement related to the provision of support to asylum seekers. It was agreed that the paper would be updated to include an assessment of historic achievement plus achievement by other practices supporting asylum seekers (to ensure consistency in the application of any exceptionality).		Updated paper to be received at August meeting.
Asylum Seeker/Refugee Resettlement Schemes	The group considered the specifications and requested that feedback was sought from practices currently providing the services plus relevant partners.		Updated paper to be received at September meeting.
Haverthwaite Surgery Estates Briefing Paper	Following a thorough exploration of the issues, potential scenarios, and mitigations, it was agreed that both the options appraisal would be enhanced, and further efforts would take place involving the Local Medical Committee to resolve		Updated paper to be received at August meeting with recommendation to Primary Care

	the issue between the practice and landlord.	Commissioning Committee September meeting.
Assure		
Premises Update	The group received an update on three premises issues which had been discussed and agreed at previous meetings.	Proposed capital working group governance arrangements to be received at August meeting for comment.
Local Risk and Issues Log	The Primary Medical Services risks and issues log was received and reviewed; the group acknowledged that it would be circulated for updates prior to the next meeting. No items were identified for escalation to the Committee.	The log will be circulated for updates and be discussed at the August meeting.

Committee: Pharmaceutical Services Group		Date: 21.07.2023	Chair: Amy Lepiorz
Key Items Discussed			
Issue	Committee update	Action	
Alert			
Advise			
CPAF (Community Pharmacy Assurance Framework)	CPAF processes has commenced for this financial year. All pharmacies are being requested to complete the online assessment form	None	
Assure			
Change of ownership & change of hours	The group are happy that the change of ownership and change of hours applications have been processed correctly, in line with the regulations.	None	

Committee: Primary Dental Services Group		Date: 27.07.2023	Chair: Amy Lepiorz
Key Items Discussed			
Issue	Committee update	Action	
Alert			
Dental Provision South Cumbria- Additional Sessions Request	After an informed discussion, the group supported the report in the interim to approve a further three sessions (eight in total) until the committee makes its decision.	The group agreed for the addition of a monthly audit to take place with the summary of the audit to be reported in December.	
Advise			
	Nothing to Escalate		

Assure		
Park House – Request to change Novation Agreement	After an informed discussion, the group supported the content of the report to decline the contractors request for the guarantee clause to either be removed or amended such that a guarantee terminates on the transfer of ownership of the businesses to a third party. Instead, the group agreed approve the alternative solution to offer the contractor the option to vary the guarantee clause to include a 5-year period from the date of novation into point 7.3	
Whitworth Dental Care Ltd – Request to Change Novation Agreement	After an informed discussion, the group supported the two contracts working in the same premises, request to amend clause 5 and include the wording – 5.5 The guarantee of the current contractor will terminate on the transfer of ownership of the businesses to a third party	
Synergy – Incorporation Request	<p>After an informed discussion, the group supported to approve the contractors request to incorporate the NHS General Dental Services (GDS) Contract to the limited company subject to the agreement for the removal of Clause 26 and the effective date of novation to commence from 1 October 2023.</p> <p>Secondly, the group also approved the proposed recommendation to include Clause 7 – Guarantee within the Novation Agreement for a period of 5 years from the date of novation</p>	
Bateman & Best – Student Therapist Proposal	After an informed discussion the group supported in principle the providers request to increase the number of student therapists for the 2023/24 academic year to 8 Students with a view to extending into future years subject to surgery capacity.	David Armstrong and David Bradley will discuss and decide the final decision and once further conversations have taken place.
110% Contract Over Performance	After an informed discussion, the group supported the note the changes to the Statement of Financial Entitlements (SFEs) and the updates relating to the Foundation Trainees, a change that will be implemented through the Health Education England Dental Team. The group have requested for practice to be asked for an indication of a forecast of their performance as this will help the team understand what money may be left over for other investment choices.	
FDS Referral Management	After an informed discussion, the group supported to approve the option to provide additional capacity for no more than 7000 referrals and increase the contract cost by a further £42,560 which is within the current budgeted envelope.	
St George's – Transfer of Units of Dental Activity (UDA)	After an informed discussion, the group supported to approve the contractor's request to permanently transfer 10,000 UDAs from the St George's Partnership GDS Contract to the Ravat and Ray Dental Care (Chorley) Ltd GDS Contract.	

Committee: Primary Optometric Services Group		Date: N/A	Chair: Collette Walsh
Key Items Discussed			
Issue	Committee update		Action
Alert			
	Nothing to Escalate – the group meet on a bi-monthly basis – the next meeting will be held in August.		
Advise			
Assure			

2. Summary of items or issues referred to other committees or the Board over the reporting period

Committee and Date	Item or Issue	Referred to
Primary Medical Services Group	Investment and Impact Fund Indicators – due to the amount of funding involved, the group does not have delegated authority to sign off.	The group has referred this action to the ICB Executives.

3. Conclusion

3.1 Each of the service groups has conducted their business in line with their terms of reference.

4. Recommendations

4.1 The Primary Care Commissioning Committee is requested to:

- Receive and note the Alert, Assure, Advise (AAA) reports from the four delegated primary care groups.

Amy Lepiorz, Associate Director Primary Care

31/07/2023